

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Applicant(s): Andreakis et al. Examiner: To Be Assigned  
Serial No.: To Be Assigned Art Unit: To Be Assigned  
Filed: Herewith Docket No.: CS11184  
Title: Updating Capability Negotiation Information In A Communications System



Motorola, Inc.  
Intellectual Property Dept.  
600 North U.S. Highway 45, AN475  
Libertyville, IL 60048

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.56, there is hereby provided an Information Disclosure Statement together with a Form PTO-1449, copies of references cited on the Form PTO-1449 and copies of any applicable foreign communications.

1. ☒ No additional fee is required. This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(b) prior to one of the following events, whichever occurred last, including: (1) within three months of the filing date of a national application, (2) within three months of the date of entry of the national stage in an international application, or (3) before the mailing date of the first Office action on the merits.
2. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(c) after one of the following events, whichever occurred last, including: (1) three months from the filing date of a national application, (2) three months from the date of entry of the national stage in an international application, or (3) the mailing date of the first Office action on the merits, but prior to the mailing date of either (1) a final action, or (2) a notice of allowance. (check either item 2a or 2b)
  - 2a. ☐ No fee is required, because the certification statement in Item 4 below is applicable.
  - 2b. ☐ Please charge the fee under 37 C.F.R. § 1.17(p) of \$240.00 to Deposit Account No. 13-4768, because no certification under 37 C.F.R. 1.97(e) has been made.
3. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. 1.97(d) after one of the following events, whichever occurred first, the mailing date of either (1) a final action, or (2) a notice of allowance, but before payment of the issue fee. In addition, the certification statement in Item 4 below is applicable. A Petition to the Commissioner is hereby made under 37 C.F.R. §1.97(d) to request consideration of this Information Disclosure

Statement. Please charge the fee under 37 C.F.R. § 1.17(i)(1) of \$130.00 to Deposit Account No. 13-4768 for the petition.

4. \_\_\_\_\_ Certification Statement (*applicable if Item 2a or Item 3 is checked*)

(*check either Item 4a or 4b*)

4a. \_\_\_\_\_ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

4b. \_\_\_\_\_ In accordance with 37 C.F.R. §1.97(e)(2), it is certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known by any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

5. \_\_\_\_\_ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language reference is: (*check item 5a, 5b or 5c*)

5a. \_\_\_\_\_ satisfied because all non-English language references were cited on the enclosed English language copy of a search report or office action from a counterpart foreign or PCT International application indicating the degree of relevance found by the foreign office. See U.S. Patent & Trademarks Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68).

5b. \_\_\_\_\_ set forth in the application.

5c. \_\_\_\_\_ enclosed as an attachment hereto.

6.   X   No admission is made that the information cited in this Statement is, or is considered to be, prior art, material to patentability or a representation that a search has been made (other than a search report of a foreign counterpart application or PCT international search report if submitted herewith). 37 C.F.R. §1.97(g) and (h).

7.   X   The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 13-4768. A duplicate copy of this sheet is attached.

Respectfully Submitted,

Please forward all correspondence to:  
Motorola, Inc.  
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Facsimile: (847) 523-2350

FORM PTO-1449		U.S. Department of Commerce Patent and Trademark Office			Docket No. CS11184	Serial No. To Be Assigned	
<b>LIST OF REFERENCES CITED BY APPLICANT</b> Page 1 of 1					Applicant Andreakis et al.		
					Filing Date Herewith	Group Art Unit To Be Assigned	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initials		Document No.	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	AA	6,151,624	Nov. 21, 2000	Teare et al.	709	217	Feb. 3, 1998
	AB						
	AC						
	AD						
	AE						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document No.	Date	Country	Class	Subclass	Translation Yes    No
	AF	WO 98/21662	22 May 1998	WIPO	G06F	13/00	<input checked="" type="checkbox"/> <input type="checkbox"/>
	AG						<input type="checkbox"/> <input type="checkbox"/>
	AH						<input type="checkbox"/> <input type="checkbox"/>
	AI						<input type="checkbox"/> <input type="checkbox"/>
<b>OTHER (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
	AJ	3GPP TS 23.057, "3 <sup>rd</sup> Generation Partnership Project; Technical Specification Group Terminals; Mobile Station Application Execution Environment (MExE); Functional description; Stage 2 (Release 4), version 4.0.0, (2000-12)					
	AK	3GPP TS 22.057, "3 <sup>rd</sup> Generation Partnership Project; Technical Specification Group Services and System Aspects; Mobile Station Application Execution Environment (MExE); Service description; Stage 1 (Release 4), version 4.0.0, (2000-10),					
	AL	WAG UAPROF, "Wireless Application Group User Agent Profile Specification", Ver. 10-Nov-1999.					
	AM	W3C PR-rdf-syntax-19990105, "Resource Description Framework (RDF) Model and Syntax Specification", Ver. 01-05-1999.					
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to Applicant.							

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